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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/661,927	
	Filing Date	September 14, 2000	
	First Named Inventor	Barrett, Ronald W.	
	Group Art Unit	1627	
	Examiner Name	Tizio, Steven C.	
Total Number of Pages in This Submission	1	Attorney Docket Number	019282-000110US

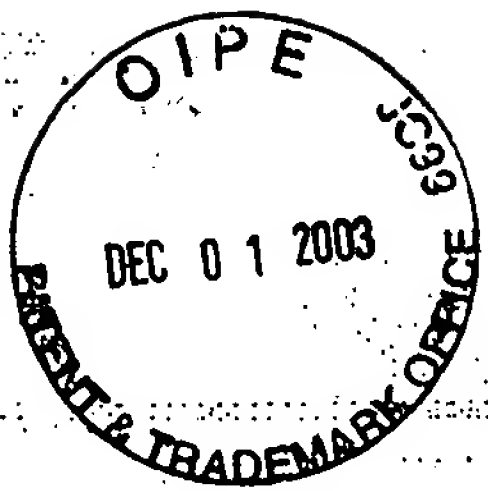
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<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement copies of 17 cited references  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Return Postcard
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Firm and Individual name	Townsend and Townsend and Crew LLP Scott L. Ausenhus Reg. No. 42,271
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Date	6-6-02

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Typed or printed name	Maisie C. Livengood
Signature	Date <u>June 6, 2002</u>

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Initials: SLA/mcl

Date: June 6, 2002

PTO Stamp indicates receipt of: ☒ Patent Matter ☐ Trademark Matter

Application Docket No.: 19282-000110US

Applicant: Dower et al.

Title or Mark: Substrates and Screening Methods for Transport Proteins

Serial/Reg. No.: 09/661,927

Filed/Issued Date: September 14, 2000

\_\_\_ No. of Pages in Specification

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LIST ALL DOCUMENTS BEING SENT TO PATENT OFFICE:

1. Transmittal Form
2. Supplemental Information Disclosure Statement
3. Forms PTO/SB/08A and 08/B
4. copies of 17 cited references

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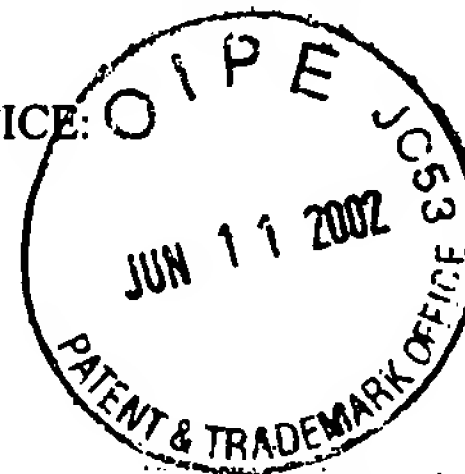
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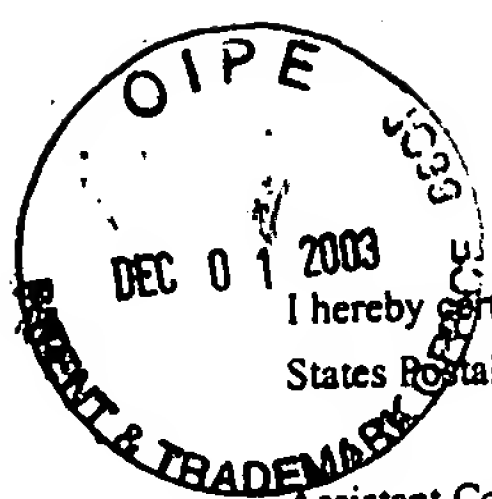
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PATENT  
Attorney Docket No.: 019282-000110US

On June 6, 2002

TOWNSEND and TOWNSEND and CREW LLP

By: Maisie C. Livengood  
Maisie C. Livengood

**COPY**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

William J. Dower, et al.

Application No.: 09/661,927

Filed: September 14, 2000

For: SUBSTRATES AND  
SCREENING METHODS FOR  
TRANSPORT PROTEINS

Examiner: Tizio, Steven C.

Art Unit: 1627

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner

William J. Dower, et al.  
Application No.: 09/661,927  
Page 2

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is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Scott L. Ausenhus  
Reg. No. 42,271

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
Tel: 303-571-4000  
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SLA:mcl

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

2

**Complete if Known**

Application Number	09/661,927
Filing Date	September 14, 2000
First Named Inventor	Barrett, Ronald W.
Art Unit	1627
Examiner Name	Tizio, Steven C.
Attorney Docket Number	019282-000110US

**U.S. PATENT DOCUMENTS**

Examiner	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	5,514,548	05-07-1996	Krebber et al.	
	AB	5,607,691	03-04-1997	Hale et al.	
	AC	5,719,043	02-17-1998	Frommer	
	AD	5,750,362	05-12-1998	Frommer et al.	
	AE	5,759,788	06-02-1998	Freneau, Jr. et al.	
	AF	5,780,264	07-14-1998	Wessling-Resnick et al.	
	AG	5,837,500	11-17-1998	Ladner et al.	
	AH	5,866,123	02-02-1999	MacLeod	
	AI	5,902,609	05-11-1999	Lee	
	AJ	5,932,424	08-03-1999	Amara et al.	
	AK	6,057,098	05-02-2000	Buechler et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	AL	PCT	WO 97/17614	05-15-1997	Elan Corporation		
	AM	PCT	WO 98/51325	11-19-1998	Cytogen Corporation		
	AN	PCT	WO 98/51825	11-19-1998	Elan Corporation		

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SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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		Applicant Number	09/661,927		
		Filing Date	September 14, 2000		
		First Named Inventor	Barrett, Ronald W.		
		Art Unit	1627		
		Examiner Name	Tizio, Steven C.		
Sheet	2	of	2	Attorney Docket Number	019282-000110US

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AO	copy of PCT International Search Report for PCT/US00/25439	
	AP	copy of Written Opinion for PCT/US00/25439	
	AQ	copy of International Preliminary Examination Report for PCT/US00/25439	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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